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OR OF PARTY APPEARING IN PRO PER
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**UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA**

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff(s),

v.

STEVE CHEN, et al.

Defendant(s)

CASE NUMBER:

CV 15-07425 RGK (GJSx)

**CERTIFICATION AND NOTICE
OF INTERESTED PARTIES
(Local Rule 7.1-1)**

TO: THE COURT AND ALL PARTIES OF RECORD:

The undersigned, counsel of record for Defendant Steve Chen
or party appearing in pro per, certifies that the following listed party (or parties) may have a pecuniary interest in
the outcome of this case. These representations are made to enable the Court to evaluate possible disqualification
or recusal.

(List the names of all such parties and identify their connection and interest. Use additional sheet if necessary.)

PARTY

CONNECTION / INTEREST

Steve Chen

Defendant

Lu Yang Li

Wife of Steve Chen

November 23, 2015

Date

/s/ Kimberley M. Miller

Signature

Kimberley M. Miller

Attorney of record for (or name of party appearing in pro per):

Defendant Steve Chen

